

11/08/01

JCS25 U.S. PTO

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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	
First Inventor	David M. Beausoleil
Title	An Improved Information Marking Plate
Express Mail Label No. ET 471478 642 US	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages **23**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 5** Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
- Oath or Declaration [Total Pages **3**]
  - ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: _____ / _____
Prior application information:		Examiner _____	Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
--	---	----	---

Name	Richard A. Catalina, Jr., Esq.				
Address	Catalina & Associates, LLC, Monmouth Shores Corp. Park 1350 Campus Parkway, Suite 200				
City	Neptune	State	NJ	Zip Code	07753
Country	United States	Telephone	732-919-6060	Fax	732-919-3220

Name (Print/Type)	Richard A. Catalina, Jr.	Registration No. (Attorney/Agent)	45,372	
Signature	[Signature]		Date	11-08-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**(\$)**1057.00****Complete if Known**

Application Number

Filing Date

11-08-01

First Named Inventor

David M. Beausoleil

Examiner Name

Group Art Unit

Attorney Docket No.

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

501472

Deposit  
Account  
Name

Richard A. Catalina, Esq.

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
101	710	201	355		Utility filing fee
106	320	206	160		Design filing fee
107	490	207	245		Plant filing fee
108	710	208	355		Reissue filing fee
114	150	214	75		Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$)**355****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
83	-20** = 63	9	567
3	-3** = 0	40	0
Multiple Dependent		135	135

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
103	18	203	9		Claims in excess of 20
102	80	202	40		Independent claims in excess of 3
104	270	204	135		Multiple dependent claim, if not paid
109	80	209	40		** Reissue independent claims over original patent
110	18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**702**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

0

**SUBMITTED BY**

Name (Print/Type)

Richard A. Catalina, Jr., Esq.

Registration No.  
(Attorney/Agent)

45,372

**Complete (if applicable)**

Telephone

732-919-6060

Signature

Date

11-08-01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**Catalina & Associates**  
A New Jersey Limited Liability Company  
**Counselors At Law**

Monmouth Shores Corporate Park  
1350 Campus Parkway, Suite 200  
Neptune, New Jersey 07753  
Phone (732) 919-6060  
Fax (732) 919-3220

rcatalina@newtechlegal.com

**Practice Limited to Intellectual Property Law**

*Patent, Trademark, Trade Secret, Copyright, Unfair Competition and Advertising*

**Richard A. Catalina, Jr., Esq.**

Registered United States Patent Attorney (45,372)  
Admitted to the Bars of the State of New Jersey  
Commonwealth of Pennsylvania  
District of Columbia

**William J. Connelly, Esq.**

Registered United States Patent Attorney (44,086)  
Admitted to the Bars of the States  
of New Jersey and New York

November 8, 2001

**VIA UNITED STATES EXPRESS MAIL ET471478642US**

ET 471478642 US )

Assistant Commissioner of Patents  
BOX – Patent Application  
Washington, DC 20231

**RE: Application – Improved Information Marking Plate by David M. Beausoleil**

Dear Sir or Madam:

Enclosed for filing with the United States Patent and Trademark Office are the following materials relative to the above application for a United States patent:

1. Utility Patent Application Transmittal;
2. Power of Attorney;
3. Fee Transmittal Form;
4. Declaration for Utility Patent Application
5. Information Disclosure Statement by Applicant;
6. Specification (**23 pages total**), comprising:
  - i) Background of the Invention;
  - ii) Summary of the Invention;
  - iii) Brief Description of the Drawings;

- iv) Detailed Description of the Preferred Embodiments;
  - v) Claims (commencing on separate page), **27 total claims**; and
  - vi) Abstract (commencing on separate page).
- 7. Copies of patents cited in Information Disclosure Statement;
  - 8. Two (2) sets of **five (5) Drawings** (three pages per set); and
  - 9. Stamped receipt postcard for return.

**Please charge all fees incurred in connection with the above application to this firm's Deposit Account 501472.**

Kindly mark the enclosed stamped postcard that the USPTO as having received the above materials and return same to me via regular mail.

Thank you for your attention to this matter. Should you have any questions, please do not hesitate to contact me.

Very truly yours,

  
RICHARD A. CATALINA, JR.

RAC/md  
Enc.

cc: David M. Beausoleil